



Predictive Maintenance Model for Marine Vessels using Machine Learning

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Abstract

The field of predictive maintenance has gained increasing interest recently for various reasons with the improvement of monitoring techniques and the increase of new methodologies and algorithms across different learning methods. There is an urgent need for the industry to detect faults accurately and in advance in the production environment, to minimize maintenance costs, prevent sudden failures and ensure optimum use of machines. Ideally, the process begins with collecting historical data from many sensors installed in different devices. In this paper, the available propulsion system data is used due to time limitation as the recording of historical data takes vast amount of time. Instead, the implementation of machine learning models using two popular algorithms are focused here. The evaluation of applied machine learning algorithms provides promising results to implement in the industry.

Index Terms: Machine learning, Marine vessels, predictive maintenance.

1. Introduction

Technical maintenance can be defined as a group of operations and practices that aim to assure uninterrupted and efficient machinery and equipment operation in various industrial fields to conserve their performance as long as possible. Onboard a ship, diligence in implementing an effective maintenance program is one of the necessary things to keep any machinery or mechanical systems going, whether it is small equipment or a big structure. Effective maintenance may help to extend the life span of the machine and maintain a smooth-running condition. So, the appropriate planning of the maintenance is critical in all types of industries including the maritime industry. Maintenance needs manpower and time that might be unavailable all the time due to the number of machines onboard the ship are more than crew members.

There are several types of maintenance used in marine ships: preventive also referred to as routine maintenance, corrective, planned and predictive maintenance. Routine maintenance is carried out on a particular schedule and commonly includes activities, for instance, checking, cleaning, inspecting, and replacing. Also, routine maintenance might be scheduled based on monthly, weekly, or even daily. Moreover, its goals are to prevent likely issues and define existing troubles to fix them as quickly as possible. The planned maintenance might be scheduled once a year or as it's needed, that is because planned maintenance is a time-consuming process, comprehensive and expensive. Corrective maintenance includes repairs

and necessary replacements to get back the machine's condition with full operation power. Corrective maintenance is performed after detecting the defect or problem during the routine maintenance inspection. The event critically of the maintenance types are shown in Figure 1.

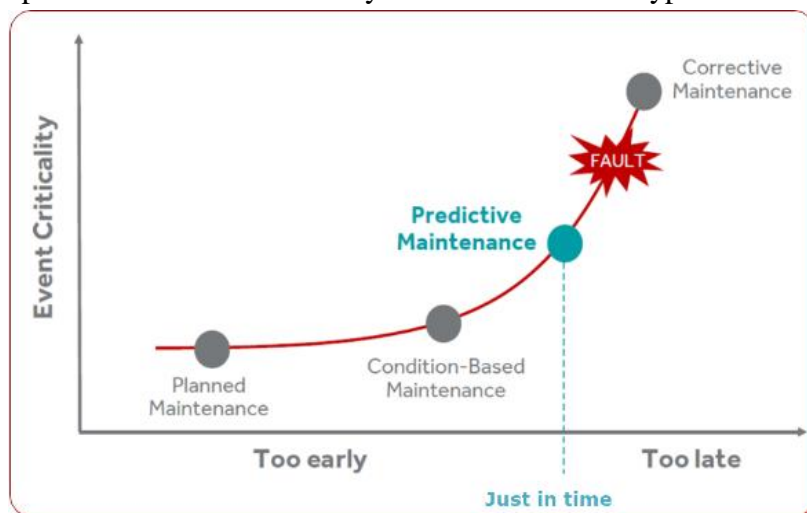


Figure 1: Comparison of maintenance types

In most of the cases, it probably is too late for repairing anything. So, it can cost much money and time in the end, furthermore, it can endanger the safety of the ship and crew. Predictive maintenance (PdM) is used to continuously monitor the machine performance and its condition during ordinary operation processes to minimize the occurrence of failures and to make sure using optimal operation of machines. Moreover, predictive maintenance helps in the early detection of machine defects that may cause unnecessary costs or unplanned failures. The main goal of predictive maintenance is to schedule the corrective maintenance and prevent sudden failures of machines before the vessel goes to the open water where replacement and repairs are more convoluted and costly. The predictive maintenance process uses many sensors to monitor different parameters inside a system or machine. The maritime industry today is highly focused on having minimum malfunctions or defects on the marine vessels by collecting the data for specific parameters using sensors fixed on different parts of the machine, and after that analyzing and processing using artificial intelligence or machine learning algorithms.

2. Literature Review

Maintenance technicians and machine operators have been striving in recent years to develop predictive maintenance in various fields, including the marine industry field, in conjunction with machine learning and artificial intelligence to know and predict the machine downtime before its actual breakdown. The main objective of maintenance is to minimize equipment malfunctions and to avoid failures that may cause delays operations. Jimenez et al. introduced a proposal in developing a solution of predictive maintenance for marine vessels based on artificial intelligence model using operational data [1]. The main idea is to use historical data to reveal trends in equipment behavior to predict when the equipment will breakdown. Once the failure has been identified, and predict the failure timing, predictive maintenance tasks will be planned. Three different types of data were collected: vibrating data, lubricating oil data and performance data to make study on them. The analyzed data were obtained from historical values from sensors that measure the health of ship engines and compressors. One

of the limitations of the study is the lack of capacity for more data. In addition, requested data from third party providers experienced significant transmission delays.

Lazakis et al carried out a study on a methodological and systemic approach in order to identify and analyze the physical properties of important ship mechanical systems and components [2]. For tracking and forecasting forthcoming values of physical characteristics connected to ship critical systems, a critical ship main engine equipment is used as input in dynamic time series neural network. Fault Tree Analysis (FTA) and Failure Mode and Effects Analysis (FMEA), are combined to identify the essential primary engine components and systems, together with the pertinent parameters to be monitored. In a Panamax-sized container ship case study, Artificial Neural Networks (ANN) are utilized to forecast future values of all main engine cylinder exhaust gas temperatures. The information used in the neural networks was gathered during a measuring campaign conducted on board the ship while it was traveling through the Mediterranean. Moreover, the validation of forecast results was carried out through comparison with actual observations made on board the ship. Through dependability modelling and tools, the suggested hybrid technique effectively demonstrated a systematic strategy for first identifying crucial systems and components, followed by the use of neural networks to monitor their physical properties. In a nutshell, the FMEA and FTA tools may work in tandem to provide a suitable general model for acquiring important critical systems together with potential causes and consequences of failure and pertinent physical metrics. Lastly, through the time series analysis of respective physical attributes, the application of the ANN provides a more focused approach for assessing and tracking the status of the detected Fault Tree components.

Gohel et al. published a proposal for the design and development of a machine learning algorithm to carry out the predictive maintenance of the nuclear infrastructure. Prediction was implemented using logistic regression and support vector machine algorithms. Predictive analytics includes building the data framework to monitor the performance continuously through analyze the sensor data to give advance alerts of component failures. In their research, the machine learning algorithms, SVM and LR were selected to conduct a study and compare between them. It was found that the proposed framework provide higher accuracy than the research conducted by other researchers [3]. Berghout et al. presented a study on a novel data-driven method for estimating the degradation of a combined gas propulsion plant and diesel-electric for marine propulsion systems [4]. The suggested method used a particular kind of deep belief neural network (DBN) constructed on online sequential extreme learning machine (OS-ELM) rules. The DBN has the ability to accomplish convolutional mapping and the pooling in each sub-network from its hidden layers in accordance with ELM with local receptive fields theories. The newly presented framework has been assessed using data that changes over time, derived from the system numerical model, and contrasted with its initial variations (ELM, OS-ELM). In terms of prediction capability, the findings demonstrate that combinatorial DBN (C-DBN) is more effective, particularly for a single output. Thus, the adoption of planned maintenance procedures in real-time is quite promising. This effectiveness is based on the developed approach and its dynamic adaptability together with the forgetting mechanism and regularization paradigm. Additionally, based on the planned filtering method and deep reconstruction, extracting more relevant feature representations

shows that it is crucial for both generalization and accurate approximation. Random sampling and constrained circumstances were used to conduct this comparative investigation. As a result, further research must be done to analyze this dataset utilizing more cross-validation activation functions and other probability distributions for subsampling. In order to achieve greater levels of accuracy and generalization, it might be intriguing to look into the usage of random search techniques for hyperparameter tuning.

Ineffective or improper maintenance can lead to a dangerous conditions on board ship that may result to accidents, serious damage to machines and loss of life. Lazakis et al. introduced the INCASS approach, which an innovative system that monitors machinery, ship structures and equipment [5]. INCASS is depends on certain vessel case studies to test and validate it under real conditions which includes data collection through sensors that installed on machinery. The information is gathered from various sources including the OREDA database, historical data and expert opinions and ship operators. The paper presents the methodology which used in the INCASS project to analyze the recorded data and integrate the results of the analysis into the DSS system.

Ideally, the work starts with installing sensors in required machines to collect the data. However, the collection of the historical data from sensors takes huge amount of time to achieve the acceptable dataset. Because of that, in this project the available data from Kaggle [6] is used to analyze and modeled using machine learning algorithms. The remaining of the paper will be organized as follows: two machine learning algorithms will be discussed in Section III, the analysis of the data is presented in Section IV, Section V discussed results and discussion and the papers is concluded in Section IV.

3. Machine Learning Algorithms

A. Logistic Regression Algorithms

Among the available Machine learning algorithms, Logistic algorithm and Random Forest algorithm are selected in this work due to popularity and recommended by data scientists due to good performance. Logistic regression (LR) is a statistical model type that is commonly used for analytical and classification, which falls under supervised learning technique. A linear regression algorithm is used for solving regression problems, whereas logistic regression is used for solving classification problems [7]. LR can be used for binary and multiclass classification problems. LR for solving multiclass classification is also called multinomial logistic regression. The underlying formulae in LR is:

$$h_{\Theta}(X) = \frac{1}{1 + e^{-\Theta X}} \quad (1)$$

$$J(\Theta) = -\frac{1}{m} \sum_m^{i=1} (y^i \log(p^i) + (1 - y^i) \log(1 - p^i)) \quad (2)$$

B. Random Forest Algorithms

With the advancement in technology, a lot of machine learning frameworks have been widely used. With the new advancement, each new framework overcomes the limitations of the previous framework such as the limitations of interfaces of noise, parameters, and high threshold value. Random forest is a supervised machine learning algorithm used for classification and regression because of its simplicity and diversity. In the decision tree, it

grows a single tree but in a random forest they grow multiple trees. When a decision tree is used, the generated model gives a bad predictive model, and the problem of overfitting arises. So instead of using a decision tree, the use of a random forest model is a good approach as it provides a good model in terms of reducing the problem of overfitting. In a random forest, against each provided input, every tree has the option to select the best classification result. The following figure explains how random forest works [8].

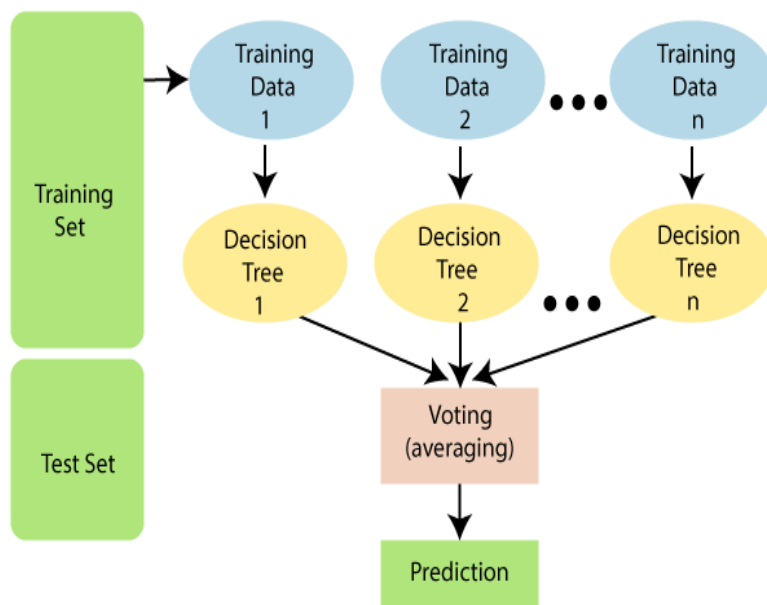


Figure 2: Working principle of the random forest algorithm

4. Data Analysis

A. Data Collection

Acquiring the data along with gathering measurement data from different sensors and processing the initial signals to obtain useful features that can indicate the health of the system condition is the first step in diagnosing and pre-predicting the failure of any machine or equipment. Raw data (unprocessed data which collected from on board ship sensors and experts), is converted into beneficial information using the machine learning techniques, database technologies and artificial intelligence. An important step after data mining is to minimize the features since the extracted features are usually too many to use to perform the operation. Common dimensionality reduction techniques, for example, kernel-PCA, Principal Component Analysis (PCA), and Isomap to remove unessential features. Due to the constraints of unavailability of the equipment needed to conduct the process of collecting the required historical data for the ship's propulsion system in the college, the data was used and got from the Kaggle source [6] to create a predictive model.

B. Naval Propulsion System

Naval ships have many mechanical systems and machines, but some of them have great importance and role in the ship and without them, it cannot go out at sea, such as the main engine, propulsion system, steering gear and generators, Therefore, is very important to maintain them continuously. Corrective or planned maintenance might be not enough to maintain ships, especially those at sea, from experiencing machinery failures. For this reason,

the role of predictive maintenance comes in the early detection of machinery failure before the ship goes to sea. One of the most critical systems in naval ships is the propulsion system. The marine propulsion system is a mechanism that ships used to produce thrust to move and manoeuvre across the water. Whereas sails and paddles are still used in several small ships, most modern vessels are propelled using mechanical systems which consist of an engine or electric motor turning the propeller. There are different types of propulsion systems utilized in vessels, but the diesel propulsion system type is the most popular marine propulsion system used to convert mechanical power from thermal forces. Marine engines are the largest and most expensive in the world and are responsible for the ship's propulsion. Therefore, it is very important for maintenance technicians to maintain these engines regularly to ensure that they continue operating with high efficiency, also to avoid any sudden malfunctions. The behavior of main components of a marine vessel propulsion system cannot easily modelled by previous physical knowledge, given a huge amount of variables that affect them. Instead, Data-Driven Models adopt on the advanced statistical techniques to create models on the big amount of the historical data that gathered using on board ship automation systems, without the need of any prior knowledge. Data Driven Models (DDMs) are highly useful for continuous monitoring of a propulsion system and its equipment and making decisions based on an actual propulsion plant condition [9].



Figure 3: Marine Propulsion System

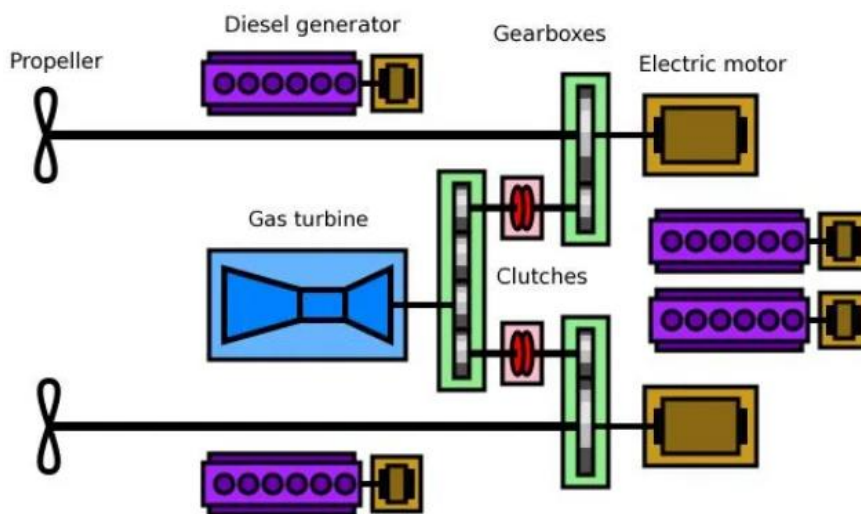
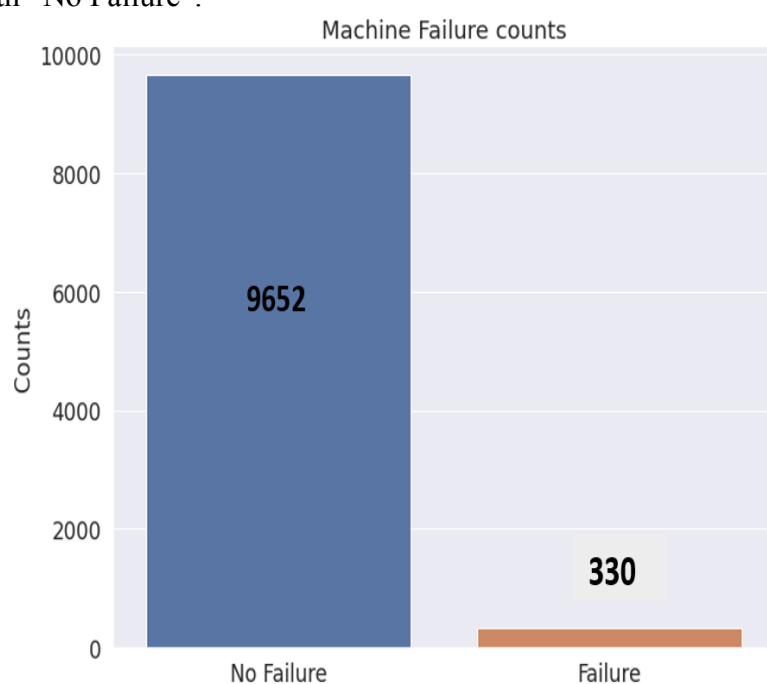


Figure 4: Naval Propulsion System

Table 1: A sample of the dataset

UDI	Product ID	Type	Air temperature [K]	Process temperature [K]	Rotational speed [rpm]	Torque [Nm]	Tool wear [min]	Target	Failure Type
1	M14860	M	298.1	308.6	1551	42.8	0	0	No Failure
4	L47183	L	298.2	308.6	1433	39.5	7	0	No Failure
11	H29424	H	298.4	308.9	1782	23.9	24	0	No Failure
51	L47230	L	298.9	309.1	2861	4.6	143	1	Power Failure
1086	L48265	L	297	307.8	1385	56.4	202	1	Overstrain Failure
1088	H30501	H	296.9	307.8	1549	35.8	206	1	Tool Wear Failure
4259	M19118	M	302.7	311.1	1297	60.7	125	1	Heat Dissipation Failure

Table 1 shows a sample of the dataset. The first column of the table shows the row number, while the second column shows the unique ID number for each product or equipment. In addition, the third column represents the type of machine which could be either low, medium or high. The rest of the columns represent the parameters that will be analysed and predict their failure, which are five parameters of the ship's propulsion system as they shown in the Table: air temperature, process temperature, rotational speed, torque and tool wear. The Target column listed “No Failure” as 0 and “Failure” as 1. The last column illustrates types of failure along with “No Failure”.

**Figure 5:** Counts of failure and no failure

As shown in Figure 5, the number of cases of no failure in the propulsion system is 9652, while the number of cases of failure is 330. One of the widespread problems that can be faced in the datasets of the prediction model used for classification is the imbalanced classes problem, where one of the observation numbers in the target class labels is much higher than the other class labels. In the case of this model, the number of failures is much less than the number of failures in the system as shown in the figure; this problem often leads to unsatisfactory results and may affect the relationship between features. Figure 6 illustrates the number of failures in each parameter of the propulsion system. The x-axis represents the type of failure, which are four types of parameters power, tool wear, overstrain and heat dissipation, while the y-axis represents how many failures are in each parameter. It can be seen from the Figure 6 that the number of failures of the heat dissipation has the highest value at around 112 times, followed by the power with the number of failures at 95, and the number of overstrain failures at 78 times. However, the tool wear parameter has the lowest number of failures 45 times out of the total number of failures, 330.

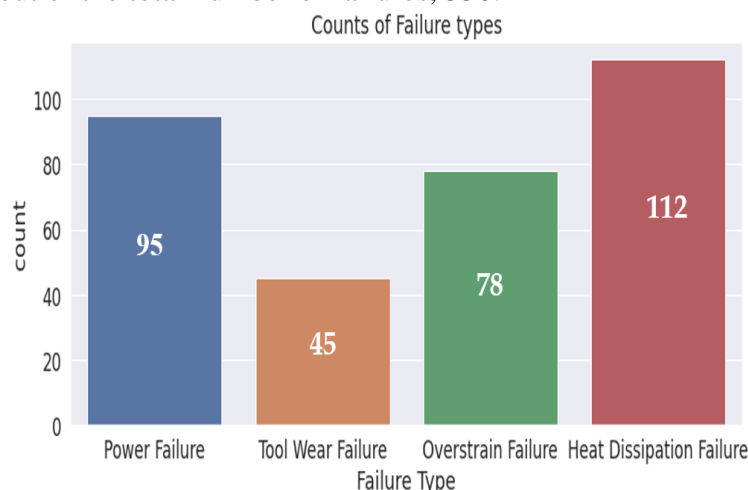


Figure 6: Counts of failure types

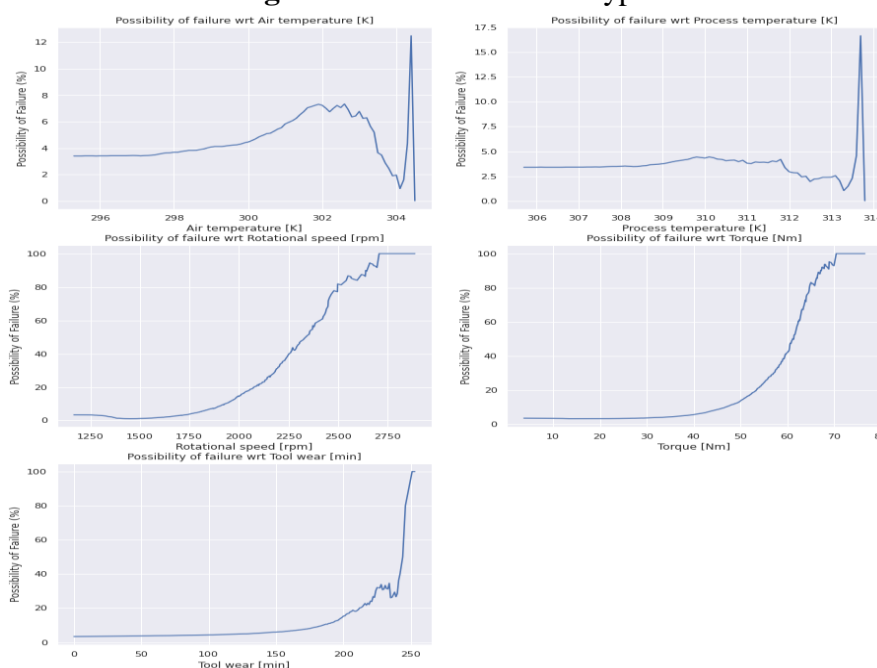


Figure 7: The possibility of failure at each type

Figure 7 shows that the possibility of failure in each parameter. As it is clear from the figure that when all parameter values increase, the possibility of failure will increase. For example, in the first graph, when the temperature rises, the possibility of failure of the device or system increases. It is also noticed that when the temperature is higher than 300 K, the possibility of failure increases significantly until it reaches a certain point at which the device will fail which is at around 305 K. In addition, in the rotational speed graph, the possibility of failure increases sharply when the rotational speed is above about 1750 rpm and until it reaches around 2700 rpm, where the system will fail at this point. The probability of system failure increases dramatically when the torque is above about 50 Nm and continues to rise until it reaches a point where the system failure will occur, which is at about 70 Nm.

5. Results and Discussion

Predictive maintenance models using LR and Random Forest Algorithm considering all features of the dataset were written in Python programming language. 25% of test data is taken out from dataset to evaluate the proposed model. Confusion matrix used to measure the performance of classification models for the given test data. The matrix is split into two dimensions, which are actual values and predicted values with total number of the predictions. The predicted values are those predicted by a model, and the actual values are true values for a given observation. This confusion matrix was in 5x5 matrix because there are five parameters in the prediction model. In a confusion matrix, all predicted values must correspond to actual values, which means all values should be a straight line from upper edge of the matrix table to lower edge of the table, but it is noticeable that there are some values for both matrix that were outside this line as it can be seen in Figure 8 and Figure 9, which means that the prediction value does not correspond to the true value, and this is considered a prediction error. The accuracy can be defined as a classification performance and calculated by using the confusion matrix formula as given below:

$$\text{Classification accuracy: } \frac{TP+TN}{TP+FP+FN+TN} \tag{3}$$

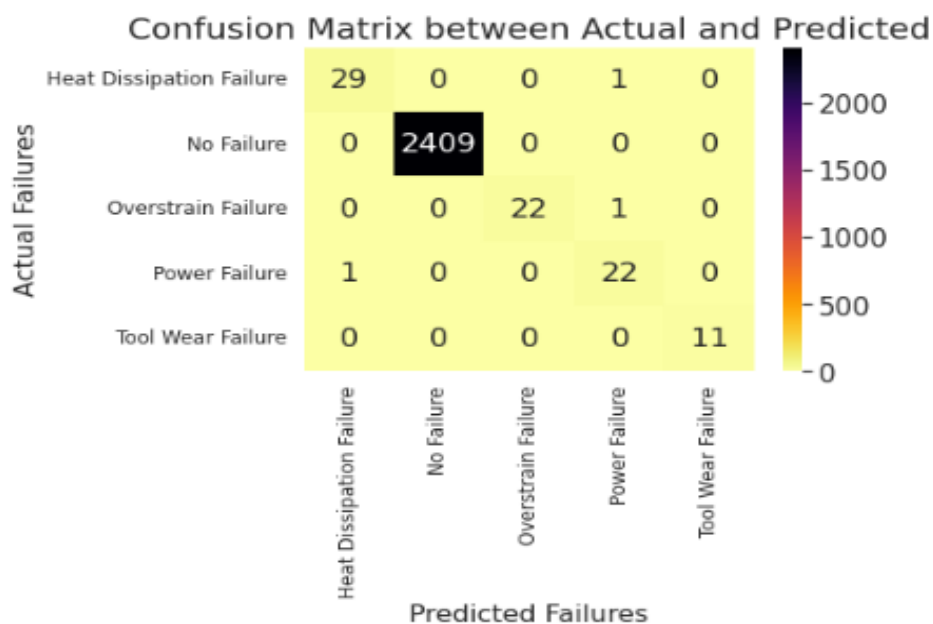


Figure 8: Confusion Matrix of LR method

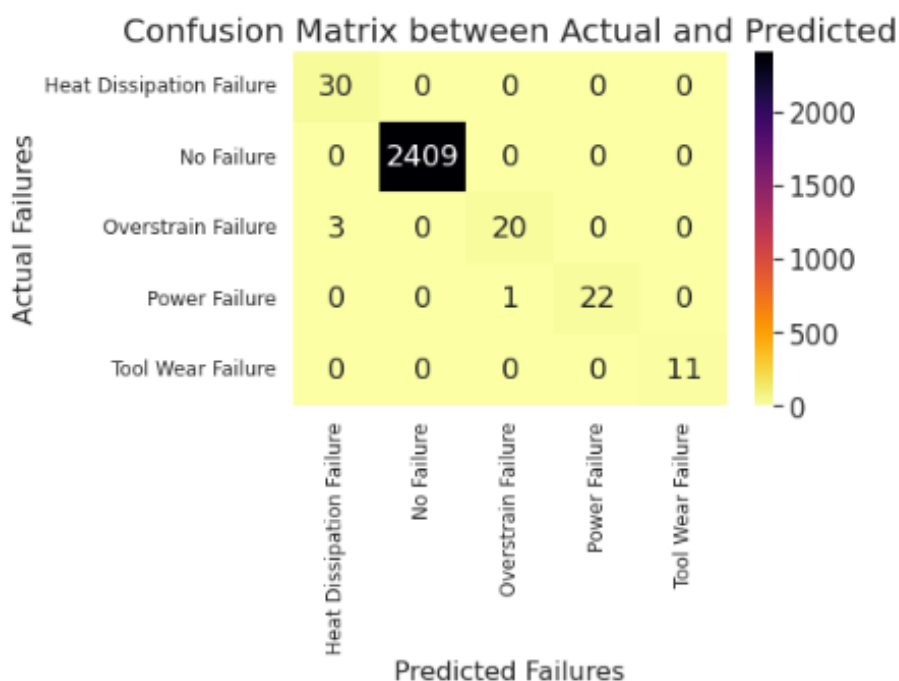


Figure 9: Confusion Matrix of Random Forest method

The accuracy of the model performance using logistic regression algorithm was 99.84 %, while the accuracy of a model by using random forest algorithm was 99.88 %. It can be concluded that the accuracy of random forest algorithm is slightly better than logistic regression algorithm in this case study. Moreover, the other three methods were used to assess the model performance of each of the two algorithms, as shown in Table 2, which are: Cohen’s Kappa score, Matthews’s correlation coefficient and Hamming loss. All methods are used to measure the relation between a machine learning model prediction value and an actual value. For Cohen’s Kappa score and Matthews correlation coefficient methods, the higher the evaluation value, the more accurate the model, while in Hamming loss method the lower value the better model performance. In all the three methods used to evaluate the accuracy of the model, it was found that the performance of the random forest algorithm was the better compared to the logistic regression algorithm as shown in the table.

Table 2: performance of algorithms

Machine learning algorithm	Cohen’s Kappa Score	Matthews correlation coefficient	Hamming Loss
Logistic regression	0.97649	0.97650	0.002
Random forest	0.982367	0.982369	0.001

6. Conclusion

Technological advances, the need for maintenance costs, difficult operating conditions, and optimization are a great combination that must be tackled with massive data and predictive analytics. Given the high cost of machinery and equipment used in marine vessels and the impact of exorbitant maintenance costs, it is expected that marine vessels will undergo rapid

development, as it is noticeable that the industry is moving forward with data science and more complex maintenance approaches. Although the predictive maintenance field is still in its infancy in the marine industry, and there is still a lot of work to uncover the complete potential represented by massive data and artificial intelligence. Two machine learning algorithms were selected to be implemented on the model which are a logistic regression algorithm and a random forest algorithm. Based on the performance of the models measured by several evaluating techniques, the proposed model with two machine learning algorithms gives promising result. It is planned to improve the performance of the model by tackling the imbalance of the dataset in future study. Moreover, the implementation of the proposed model in marine vessels is intended, beginning with collecting data by installing sensors in marine propulsion system.

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